

Table 1: Participant File: Please review the notes section carefully for additional information.

Table	Column Name	Column Description	Datatype	Data Example/Format	Required Field?	Additional Notes
Participants						
	SSN_TaxID	Social Security Number or Tax ID Number. If there is no Tax ID number, enter all 9s	char(11)	111-22-3333 (social) 11-22222222 (TIN) 999-99-9999 (none)	Yes	This field can hold either the Social Security Number or the TaxID Number. The format required is listed in the data example column.
	AcctNo	Account Number	char(30)	444432ABD	Yes	Internal account number for each claimant.
	Name1	Name	char(50)	Smith Trust	Yes	
	Name2	Name	char(50)	Victoria Smith	No	
	Name3	Name	char(50)	Adjoa Smith TTEES	No	
	Name4	Name	char(50)	Acct. 201-2A	No	
	Address1	Street Number and Name	char(100)	1234 France Ave. S.	Yes	Suite number, apartment number, etc. is acceptable appended to this field, but it must be complete (not partial in address 1 and partial in address 2).
	Address2	Apartment, suite, etc.	char(100)	Suite 200	No	
	City	City	char(50)	Minneapolis	Yes	
	State	State Name or Abbreviation (see notes)	char(50)	MN	Yes	All States must be same format. If using 2 letter abbreviations, all must be 2 letter abbreviations. If spelling full name of state, all must be fully spelled.
	ZIP	Zip or postal code	char(10)	55435 (US) EA7 0G3(international)	Yes	This field can hold either 5 or 9 digit US zip code or a foreign postal code.
	Country	Full name of country	char(50)	United Kingdom	Yes	Provide consistency in naming countries. Leave Blank if United States.

Table 2: Common Stock: The following data will be used to calculate claim values for each claimant. Please review the notes section carefully for additional information. If you need to add additional fields, please add them at the end of each record.

Please note that each group of common stock transactions for a claimant requires a description of claimant's holdings on **October 28, 2003** and **July 7, 2004**.

Table	Column Name	Column Description	Datatype	Data Example/Format	Required Field?	Additional Notes
Required for all Common Stock Transactions						
	AcctNo	Account Number	char(30)	444432ABD	Yes	Identifies claimant for each transaction.
	Date	Date of trade	char(10)	mm/dd/yyyy	Yes	For beginning and ending balance requirements, this date is the date of the snap-shot of the account holdings. All dates must be in the mm/dd/yyyy format.
	TransactionType	Type of transaction	char(7)	Buy	Yes	Describes the transaction type. Possible values for TransactionType include: "Buy", "Sell", "Hold", "Exchange", "Received", and "Delivered" for commons stock.
	Ticker	Type of shares being traded	char(3)	NFI	Yes	Ticker that the shares were traded under.
	CUSIP	Stock Identifying Number	char(9)	669947400	Yes	Each transaction must show either Ticker and/or CUSIP, preferably both.
	Shares	Number of shares	numeric	175.01	Yes	Number of shares either held, bought, or sold.
	SharePrice	Price / Share in US dollars	numeric	\$56.63	Depends on Transaction	Datatype is money or equivalent. Datatype must display decimal point, with precision to the thousands place. Field is required for TransactionType of "Buy" or "Sell" and is not required for "Hold".
	TotalCost	Aggregate Cost (including commissions, fees, and taxes) OR Amount Received (net of commissions, fees, and taxes)	numeric	\$9,909.94	Depends on Transaction	Datatype is money or equivalent. Datatype must display decimal point, with precision to the hundredths place (to the penny). Field is required for TransactionType of "Buy" or "Sell" and is not required for "Hold".

Table 3: Put Options: The following data will be used to calculate claim values for each claimant. Please review the notes section carefully for additional information. If you need to add additional fields, please add them at the end of each record.

Please note that each group of put options transactions for a claimant requires a description of claimant's obligations on October 28, 2003 and April 8, 2004.

Table	Column Name	Column Description	Datatype	Data Example/Format	Required Field?	Additional Notes
Required for Put Option Transactions						
	AcctNo	Account Number	char(30)	444432ABD	Yes	Identifies claimant for each transaction.
	Date	Date of trade	char(10)	mm/dd/yyyy	Yes	For beginning and ending balance requirements, this date is the date of the snap-shot of the account holdings. All dates must be in the mm/dd/yyyy format.
	TransactionType	Type of transaction	char(7)	Buy	Yes	Describes the transaction type. Possible values for TransactionType include: "Write" or "Sell", "Repurchased", "Assigned", "Expired", or "Hold"(aka obligated) for put options.
	Ticker	Type of shares being traded	char(3)	ODHAZ.X	Yes	Ticker that the shares were traded under.
	CUSIP	Stock Identifying Number	char(9)	6699478OE	Yes	Each transaction must show either Ticker and/or CUSIP, preferably both.
	Number of Contracts	The number of put contracts written (1 contract = 100 shares)	numeric	4	Yes	Number of contracts either open, bought, or sold(written).
	Strike Price	The option strike price	numeric	\$50.00	Yes	Datatype is money or equivalent. Datatype must display decimal point, with precision to the thousands place. Field is required for all TransactionTypes
	Expiration Date	Expiration date of the contract	char(10)	mm/dd/yyyy	Yes	For beginning and ending balance requirements, this date is the date of the snap-shot of the account holdings. All dates must be in the mm/dd/yyyy format.
	Premium Price	The amount paid/received for the each share in the contract	numeric	\$1.25	Depends on Transaction Type	Datatype is money or equivalent on a per share basis. Datatype must display decimal point, with precision to the thousands place. Field is required for TransactionType of "Write" or "Sell", and "Repurchased" and is not required for "Hold".
	Amount Received	Total amount received for writing contract (net of commissions, taxes, and fees)	numeric	\$454.01	Depends on Transaction Type	Datatype is money or equivalent. Datatype must display decimal point, with precision to the hundredths place (to the penny). Field is required for TransactionType of "Write" or "Sell", "Assigned" and "Repurchased" and is not required for "Hold".

- * Please provide the information in Excel or ASCII, non-delimited, fixed field length, fixed record length file.
- * The data should be burned to a CD and accompany the claim form.
- * This data will be used to acknowledge receipt of these claims.